

## problem sheet 10

discussion: Tuesday, 15.12.20

- 10.1.** (connection of 1. and 2. Piola-Kirchhoff tensor) Let  $W : \mathbb{S}(3) \rightarrow \mathbb{R}$  be a (smooth) function that is defined on the vector space of the symmetric  $3 \times 3$  matrices. Define on the space of matrices (with determinant  $> 0$ ) the function  $\widehat{W}(F) := W(F^\top F)$ . Show:

$$\frac{\partial \widehat{W}(F)}{\partial F} = 2F \frac{\partial W(C)}{\partial C}, \quad C = F^\top F.$$

*Hint:* Recall that for a scalar function  $W$  that is defined on the set of matrices, the derivative  $\frac{\partial W}{\partial C}$  given by  $W(C + \Delta) = W(C) + \frac{\partial W}{\partial C} : \Delta + \dots$  and that we agreed that  $\frac{\partial W(C)}{\partial C}$  is symmetric.

- 10.2.** (Neo-Hookean materials) The deformation energy is

$$W(C) = \frac{1}{2} \mu \left[ \text{tr}(C - I) + \frac{2}{\beta} \left\{ (\det C)^{-\beta/2} - 1 \right\} \right], \quad \beta > 0.$$

Show that for *small* strains  $E = \frac{1}{2}(C - I)$ , one obtains the classical Hooke law. What are the corresponding Lamé parameters  $\lambda$  and  $\mu$ ? *Hint:* You may use that  $E$  is symmetric and the representation of  $\det(\lambda - A) = \lambda^3 - i_1(A)\lambda^2 + i_2(A)\lambda + i_3(A)$  with  $i_1(A) = \text{tr } A$ ,  $i_2(A) = \frac{1}{2}(\text{tr } A)^2 - \frac{1}{2} \text{tr } A^2$ ,  $i_3(A) = \det A$  to show  $\det C = 1 + 2 \text{tr } E + 2(\text{tr } E)^2 - 2E : E + \dots$ .

- 10.3.** In elasticity theory the energy functional  $A \mapsto W(A)$  is defined on (a subset of) the set of matrices. Convexity (of functionals, sets) is an important tool to show existence of minimizers. Show the following negative result: The set  $M := \{A \in \mathbb{R}^{d \times d} \mid \det A > 0\}$  is *not* convex.

- 10.4.** (incompressible materials) Consider the energy minimization

$$J(u) := \int_{\Omega} \mu \varepsilon(u) : \varepsilon(u) + \frac{\lambda}{2} (\text{tr } \varepsilon(u))^2 dx - \int_{\Omega} f \cdot u - \int_{\Gamma_N} g \cdot u, \quad u \in (H_D^1(\Omega))^3 = \{u \in (H^1(\Omega))^3 \mid u|_{\Gamma_D} = 0\}.$$

Incompressible materials are characterized by  $\lambda \rightarrow \infty$ . For fixed  $\mu, f, g$ , let  $u_\lambda$  the minimizer of  $J$ .

- a) Show that  $\|u_\lambda\|_{H^1(\Omega)}$  is bounded uniformly in  $\lambda$  (for  $\lambda \rightarrow \infty$ ). Therefore, there is at least a subsequence with a weak limit in  $(H_D^1(\Omega))^3$ . (In fact, even the full sequence converges in  $(H_D^1(\Omega))^3$ .)
- b) Show that  $u_\lambda$  also solves the following saddle point problem: Find  $u_\lambda \in (H_D^1(\Omega))^3$  and  $p \in L^2(\Omega)$  such that

$$\begin{aligned} 2\mu(\varepsilon(u_\lambda), \varepsilon(v))_{L^2(\Omega)} + (\nabla \cdot v, p)_{L^2(\Omega)} &= l(v) := (f, v)_{L^2(\Omega)} + (g, v)_{L^2(\Gamma_N)} \quad \forall v \in (H_D^1(\Omega))^3 \\ (\nabla \cdot u_\lambda, q)_{L^2(\Omega)} - \frac{1}{\lambda}(p, q)_{L^2(\Omega)} &= 0 \quad \forall q \in L^2(\Omega). \end{aligned}$$

How was  $p$  defined? Here,  $(\varepsilon(u), \varepsilon(v))_{L^2(\Omega)} = \int_{\Omega} \varepsilon(u) : \varepsilon(v)$ . *Remark:* If  $\Gamma_D = \partial\Omega$ , then one can/should actually additionally require  $\int_{\Omega} p = 0$  and replace  $L^2(\Omega)$  with the factor space  $L^2(\Omega) \setminus \mathbb{R}$ .

- 10.5.** For special geometries (“plates”, “shells”) special equations of elasticity are commonly used. The key idea to derive these equations is to assume that the displacement has a certain form in the transverse direction.

Let  $\Omega = \omega \times (-t/2, t/2)$ , where  $\omega$  denotes the mid surface and  $t > 0$  the thickness of the plate.

Make the following assumptions (“assumptions of Reissner and Mindlin”)

- (i) No surface forces are applied but only volume forces  $f$  that are of the form  $f(x, y, z) = f(x, y)(0, 0, 1)^\top$ .
- (ii) The stress  $\sigma_{33}$  satisfies  $\sigma_{33} = 0$  in  $\Omega$

(iii) The displacements have the form

$$u_i(x, y, z) = -z\theta_i(x, y), \quad i \in \{1, 2\}, \quad u_3(x, y, z) = w(x, y)$$

Show: If one inserts the ansatz (i) and (iii) into the minimization problem of linear elasticity, then one obtains a minimization problem for  $(\theta_1, \theta_2, w)$  in which the functional  $\Pi$  is minimized:

$$\begin{aligned} \Pi(\theta, w) &:= \frac{t^3}{12} a(\theta, \theta) + \frac{\mu t}{2} \int_{\omega} |\nabla w - \theta|^2 dx_1 dx_2 - t \int_{\omega} f w dx_1 dx_2 \\ a(\theta, \psi) &:= \mu \int_{\omega} \varepsilon(\theta) : \varepsilon(\psi) + \tilde{\lambda} \operatorname{div} \theta \operatorname{div} \psi dx_1 dx_2, \end{aligned}$$

where  $\theta = (\theta_1, \theta_2)$  and the  $2 \times 2$ -matrix  $\varepsilon(\theta)$  is given by  $(\varepsilon(\theta))_{ij} = \frac{1}{2}(\frac{\partial \theta_i}{\partial x_j} + \frac{\partial \theta_j}{\partial x_i})$ . What is the parameter  $\tilde{\lambda}$ ?

*Remarks:*

- (1) The condition  $\sigma_{33} = 0$  is not used in your derivation. In the classical Reissner-Mindlin plate theory, it is accounted for and leads to  $\tilde{\lambda} = \frac{\lambda}{2} \frac{\mu}{\lambda + 2\mu}$  (cf. [Chap. VI, Sec. 5, Braess: FEM]).
- (2) a good scaling for the load  $f$  is  $f = t^2 \tilde{f}$  for some function  $\tilde{f}$  that is  $O(1)$ . (In fact, the plate cannot sustain a load that is larger as it would break for small thickness  $t$ .) By dividing  $\Pi$  by  $t^{-3}$  (which doesn't change the minimizer!) one sees that for small  $t$ , the method enforces the constraint  $\theta = \nabla w$ .
- (3) The constraint  $\theta = \nabla w$  can also be used directly, which is known as "Kirchhoff" or "Kirchhoff-Love" assumption. Physically, one then assumes that the lines that are orthogonal to the mid plane  $\omega$  prior to the deformation are also orthogonal to the mid plane after the deformation. This leads to the ansatz

$$\theta_i(x, y) = \frac{\partial w}{\partial x_i}(x, y), \quad u_i(x, y) = z \frac{\partial w}{\partial x_i}, \quad i = 1, 2;$$

inserting this ansatz into the minimization problem of linear elasticity leads to an equation of fourth order.